

## **REPORT TO AUDIT AND GOVERNANCE COMMITTEE**

**Date of Meeting: 01 DECEMBER 2021**

**Report of: Chief Executive & Growth Director**

**Title: Corporate Risk Register**

### **Is this a Key Decision?**

No

### **Is this an Executive or council function?**

Risk management is a council function.

Risk Management is an important element of the council's Code of Corporate Governance. Regular monitoring of the council's corporate risks helps to ensure that the council's business is conducted in accordance with the law and proper standards, that public money is safeguarded and properly accounted for and used economically, efficiently and effectively.

### **1. What is the report about?**

- 1.1 The report advises the committee of the council's risk management progress and presents the updated Corporate Risk Register (Appendix A).

### **2. Recommendations:**

- 2.1 That the committee reviews and notes Corporate Risk Register.

### **3. Reasons for the recommendation:**

- 3.1 To comply with the council's Risk Management Policy which states that this committee is responsible for monitoring and reviewing the Council's risks and reporting all new and updated risks to the Chief Executive and Growth Director.

### **4. What are the resource implications including non-financial resources.**

- 4.1 Directors and Senior Managers, as appropriate, are asked to update the Corporate Risk Register on a quarterly basis. The register is reviewed regularly by the Chief Executive and Growth Director, the Strategic Management Board and the Health and Safety Board. This process is currently facilitated by Internal Audit.

### **5. Section 151 Officer comments:**

- 5.1 The key financial risks facing the Council are addressed in this report. The financial position risk has been updated to reflect the recent Comprehensive Spending Review, although in reality little further information was forthcoming. The risk will be reassessed once the financial settlement is received in December.

### **6. What are the legal aspects?**

- 6.1 None identified.

### **7. Monitoring Officer's comments:**

- 7.1 The Corporate Risk Register provides key management and Members with significant information on the main risks faced by the organisation. It is important that Members scrutinise it carefully.

### **8. Report details:**

8.1 The Corporate Risk Register (Appendix A) has been reviewed and updated by members of SMB as follows:

8.2 **NEW RISK** – Failure to deliver the Liveable Exeter Programme (Risk ref 8)

The Liveable Exeter Programme is a key corporate priority and this new risk is a reflection of the funding challenge that encompasses staffing resources for the team to undertake the work, the nature of the challenge of a regeneration programme that has to address complex land assembly and infrastructure challenges, as well as significant abnormal costs associated with the delivery of this type of programme.

8.3 An update on each risk can be found in the notes column of the Corporate Risk Register (Appendix A)

**9. How does the decision contribute to the Council's Corporate Plan?**

9.1 This decision helps to ensure the delivery of the council's purpose 'Well Run Council'.

**10. What risks are there and how can they be reduced?**

10.1 Any risks should be captured in either this document or the operational risk register.

**11. Equality Act 2010 (The Act)**

11.1 Under the Act's Public Sector Equalities Duty, decision makers are required to consider the need to:

- eliminate discrimination, harassment, victimisation and any other prohibited conduct;
- advance equality by encouraging participation, removing disadvantage, taking account of disabilities and meeting people's needs;
- and foster good relations between people by tackling prejudice and promoting understanding.

11.2 In order to comply with the general duty authorities must assess the impact on equality of decisions, policies and practices. These duties do not prevent the authority from reducing services where necessary, but they offer a way of developing proposals that consider the impacts on all members of the community.

11.3 In making decisions the authority must take into account the potential impact of that decision in relation to age, disability, race/ethnicity (includes Gypsies and Travellers), sex and gender, gender identity, religion and belief, sexual orientation, pregnant women and new and breastfeeding mothers, marriage and civil partnership status in coming to a decision.

11.4 In recommending this proposal no potential impact has been identified on people with protected characteristics as determined by the Act because:

11.4.1 The report does not set policy.

**12. Carbon Footprint (Environmental) Implications:**

12.1 Not applicable

**13. Are there any other options?**

13.1 Not applicable.

**Chief Executive and Growth Director**

**Local Government (Access to Information) Act 1972 (as amended)**

**Background papers used in compiling this report:-**

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